



Substitute for Form 1449A/PTO (Modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

|                        |                  |
|------------------------|------------------|
| Application Number     | 10/648,956       |
| Filing Date            | 08/27/2003       |
| First Named Inventor:  | Rajiv Ramaswami  |
| Group Art Unit         | 2855 2883        |
| Examiner Name          | Unassigned Healy |
| Attorney Docket Number | 3239P081D2       |

Sheet 1 of 9

## U.S. PATENT DOCUMENTS

| Exam. Initial* | Cite No. <sup>1</sup> | U.S. Patent Document     |                 | Name of Patentee or Applicant of Cited Document | Date of Issue/ Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |           |
|----------------|-----------------------|--------------------------|-----------------|---|---|---|-----------|
|                |                       | Number Code <sup>2</sup> | Kind (If known) |   |   | CLASS   | SUB CLASS |
| SWH            |                       | 3,651,392                |                 | Frisch, et al.                                  | 3/21/1972   | 318   | 561       |
| SWH            |                       | 4,365,863                |                 | Broussaud                                       | 12/28/1982  | 385   | 18X       |
| SWH            |                       | 4,369,523                |                 | Seki, et al.                                    | 1/18/1983   | 455   | 601       |
| SWH            |                       | 4,437,190                |                 | Rozenwaig, et al.                               | 3/13/1984   | 455   | 600       |
| SWH            |                       | 4,470,154                |                 | Yano  | 9/4/1984  | 455   | 607       |
| SWH            |                       | 4,519,670                |                 | Spinner, et al.                                 | 5/28/1985   | 350   | 96.15     |
| SWH            |                       | 4,530,566                |                 | Smith, et al.                                   | 7/23/1985   | 350   | 96.20     |
| SWH            |                       | 4,563,774                |                 | Gloge   | 1/7/1986  | 455   | 607       |
| SWH            |                       | 4,580,873                |                 | Levinson  | 4/8/1986  | 385   | 17X       |
| SWH            |                       | 4,612,670                |                 | Henderson                                       | 5/16/1986   | 455   | 607       |
| SWH            |                       | 4,634,239                |                 | Buhrer  | 10/6/1987   | 350   | 486       |
| SWH            |                       | 4,797,879                |                 | Habbab, et al.                                  | 1/10/1989   | 370   | 3         |
| SWH            |                       | 4,817,014                |                 | Schneider, et al.                               | 3/28/1989   | 364   | 728.03    |
| SWH            |                       | 4,843,382                |                 | Oda, et al.                                     | 6/27/1989   | 455   | 601X      |
| SWH            |                       | 4,856,863                |                 | Sampsel, et al.                                 | 8/15/1989   | 385   | 18X       |
| SWH            |                       | 4,859,012                |                 | Chon  | 8/22/1989   | 385   | 18X       |
| SWH            |                       | 4,886,335                |                 | Yanagawa, et al.                                | 12/12/1989  | 385   | 18X       |
| SWH            |                       | 4,897,830                |                 | Hill, et al.                                    | 1/30/1990   | 370   | 4         |
| SWH            |                       | 4,903,225                |                 | Brost   | 2/20/1990   | 375   | 96        |
| SWH            |                       | 4,982,446                |                 | Lord, et al.                                    | 1/1/1991  | 455   | 600       |

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|                |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (If known) |   |  | CLASS   | SUB CLASS |                |
| SWH            |                       | WO                      | 86/04205            |                                   | PCT   | 07/17/86   | H04Q  | 11/02     |                |
| SWH            |                       | WO                      | 86/05649            |                                   | PCT   | 09/25/86   | H04Q  | 11/02     |                |
| SWH            |                       | WO                      | 90/14734            |                                   | PCT   | 11/29/90   | H04N  | 7/22      |                |
| SWH            |                       | WO                      | 91/01603            |                                   | PCT   | 02/07/91   | H04J  | 14/08     |                |
| SWH            |                       | WO                      | 95/19689            |                                   | PCT   | 07/20/95   | H04Q  | 11/00     |                |
| SWH            |                       | EP                      | 0 674 457           | A2                                | Fujitsu   | 09/27/95   | 385   | 24X       |                |
| SWH            |                       | EP                      | 0 721 275           | A2                                | EPO   | 12/28/95   | H04M  | 3/36      |                |

Examiner Signature

B. Healy

Date Considered

12/20/04

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|   |   |    |   | First Named Inventor:    | Rajiv Ramaswami  |
|   |   |    |   | Group Art Unit           | 2855-2883        |
|   |   |    |   | Examiner Name            | Unassigned Healy |
| Sheet   | 2 | of | 9 | Attorney Docket Number   | 3239P081D2       |

  

| U.S. PATENT DOCUMENTS |                       |                          |                 |   |   |   |
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|                       |                       | Number Code <sup>2</sup> | Kind (If known) |   |   |   |
| BWT                   |                       | 4,984,238                |                 | Watanabe, et al.                                | 1/8/1991  | 370 105.1   |
| BWT                   |                       | 5,035,482                |                 | ten Berge, et al.                               | 7/30/1991   | 385 96.20   |
| BWT                   |                       | 5,037,173                |                 | Sampsel, et al.                                 | 8/6/1991  | 385 17  |
| BWT                   |                       | 5,157,652                |                 | Walker  | 10/20/1992  | 370 17  |
| BWT                   |                       | 5,216,729                |                 | Berger, et al.                                  | 6/1/1993  | 385 31  |
| BWT                   |                       | 5,253,274                |                 | Janniello, et al.                               | 10/12/1993  | 375 12.1  |
| BWT                   |                       | 5,260,819                |                 | Hadifotiou, et al.                              | 11/9/1993   | 359 10  |
| BWT                   |                       | 5,272,556                |                 | Faulkner, et al.                                | 12/21/1993  | 359 12.5  |
| BWT                   |                       | 5,299,044                |                 | Mosch, et al.                                   | 3/29/1994   | 359 11.0  |
| BWT                   |                       | 5,349,550                |                 | Gage  | 9/20/1994   | 364 729.03  |
| BWT                   |                       | 5,355,238                |                 | Kight, et al.                                   | 10/11/1994  | 359 13.5  |
| BWT                   |                       | 5,359,683                |                 | Pan   | 10/25/1994  | 385 2.2   |
| BWT                   |                       | 5,440,654                |                 | Lambert, Jr.                                    | 8/8/1995  | 385 17  |
| BWT                   |                       | 5,477,364                |                 | Pearson   | 12/19/1995  | 359 13.9  |
| BWT                   |                       | 5,485,300                |                 | Daley   | 1/16/1996   | 359 18.0  |
| BWT                   |                       | 5,487,120                |                 | Choy, et al.                                    | 1/23/1996   | 385 2.4   |
| BWT                   |                       | 5,488,862                |                 | Neukermans, et al.                              | 2/6/1996  | 73 501.02   |
| BWT                   |                       | 5,515,361                |                 | Li, et al.                                      | 5/7/1996  | 370 15  |
| BWT                   |                       | 5,521,732                |                 | Nishio  | 5/28/1996   | 359 12.0  |
| BWT                   |                       | 5,521,734                |                 | Frigo   | 5/28/1996   | 359 15.2  |

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| BWT                      |                       | EP                      | 0 752 794           | A2                                   | EPO   | 01/08/97  | H040 11/00  |                |
| BWT                      |                       | EP                      | 0 759 681           | A2                                   | EPO   | 02/26/97  | 385 17.2  |                |
| BWT                      |                       | EP                      | 0 809 384           | A2                                   | EPO   | 05/20/97  | H040 29/14  |                |
| BWT                      |                       | WO                      | 97/24822            |                                      | PCT   | 07/10/97  | H040 16.08  |                |
| BWT                      |                       | EP                      | 0 857 000           | A2                                   | EPO   | 01/30/98  | H040 11/00  |                |
| BWT                      |                       | EP                      | 0 647 457           | A3                                   | Fujitsu   | 05/13/98  | H040 11/00  |                |
| BWT                      |                       | WO                      | 98/54863            |                                      | PCT   | 05/27/98  | H040 14/02  |                |

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| Examiner Signature | B. Healy | Date Considered | 12/20/04 |
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|   |   |    |   | Filing Date              | 08/27/2003           |
|   |   |    |   | First Named Inventor:    | Rajiv Ramaswami      |
|   |   |    |   | Group Art Unit           | <del>2855</del> 2883 |
|   |   |    |   | Examiner Name            | Unassigned Healy     |
|   |   |    |   | Attorney Docket Number   | 3239P081D2           |
| Sheet   | 3 | of | 9 |                          |                      |

  

| U.S. PATENT DOCUMENTS |                       |                          |                 |   |   | Class  | Sub Class                |
|-----------------------|-----------------------|--------------------------|-----------------|---|---|--|--------------------------|
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|                       |                       | Number Code <sup>2</sup> | Kind (If known) |   |   |  |                          |
| Bur                   |                       | 5,524,153                |                 | Laor  | 6/4/1996  | 385  | 16                       |
| Bur                   |                       | 5,535,293                |                 | Buchin  | 7/9/1996  | 385  | 27                       |
| Bur                   |                       | 5,539,328                |                 | Mirov, et al.                                   | 7/23/1996   | 326  | 30                       |
| Bur                   |                       | 5,570,371                |                 | Iga   | 10/29/1996  | 370  | 99                       |
| Bur                   |                       | 5,608,735                |                 | McCullough, et al.                              | 3/4/1997  | 370  | 51.3                     |
| Bur                   |                       | 5,629,790                |                 | Neukermans, et al.                              | 5/13/1997   | 359  | 198                      |
| Bur                   |                       | 5,629,919                |                 | Hayashi, et al.                                 | 5/13/1997   | 369  | 112                      |
| Bur                   |                       | 5,648,618                |                 | Neukermans, et al.                              | 7/15/1997   | 73   | <del>280.76</del> 280.76 |
| Bur                   |                       | 5,666,487                |                 | Goodman, et al.                                 | 9/9/1997  | 395  | 280.76                   |
| Bur                   |                       | 5,694,389                |                 | Seki, et al.                                    | 12/2/1997   | 375  | 326                      |
| Bur                   |                       | 5,699,463                |                 | Yang, et al.                                    | 12/16/1997  | 385  | 22                       |
| Bur                   |                       | 5,719,903                |                 | Hiben, et al.                                   | 2/17/1998   | 375  | 322                      |
| Bur                   |                       | 5,727,098                |                 | Jacobson  | 3/10/1998   | 385  | 22                       |
| Bur                   |                       | 5,729,527                |                 | Gerstel, et al.                                 | 3/17/1998   | 370  | 228                      |
| Bur                   |                       | 5,745,274                |                 | Fatehi, et al.                                  | 4/28/1998   | 359  | 187                      |
| Bur                   |                       | 5,774,604                |                 | McDonald  | 6/30/1998   | 385  | 18                       |
| Bur                   |                       | 5,781,537                |                 | Ramaswami, et al.                               | 7/14/1998   | 370  | 254                      |
| Bur                   |                       | 5,793,746                |                 | Gerstel, et al.                                 | 8/11/1998   | 370  | 228                      |
| Bur                   |                       | 5,801,863                |                 | Fatehi, et al.                                  | 9/1/1998  | 359  | 124                      |
| Bur                   |                       | 5,825,877                |                 | Dan, et al.                                     | 10/20/1998  | 380  | 4                        |

| FOREIGN PATENT DOCUMENTS |                       |                         |                     |                                      |   |   |  |                |
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| Bur                      |                       | EP                      | 0 849 906           | A2                                   | Fujitsu   | 06/24/98  | 385 24X  |                |
| Bur                      |                       | EP                      | 0 898 440           | A2                                   | EPO   | 02/24/99  | 385 24X  |                |
| Bur                      |                       | GB                      | 2347570             | A                                    | United Kingdom                                  | 03/03/99  | 1040 14/02   |                |
| Bur                      |                       | WO                      | 99/13656            |                                      | PCT   | 03/18/99  | 1040 3/52  |                |
| Bur                      |                       | WO                      | 99/18751            |                                      | PCT   | 04/15/99  | 385 24X  |                |
| Bur                      |                       | EP                      | 0 910 138           | A1                                   | EPO   | 04/21/99  | 385 24X  |                |
| Bur                      |                       | EP                      | 0 932 069           | A1                                   | EPO   | 07/28/99  | 402F 1/09  |                |

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|   |   |    |   | Group Art Unit           | 2855 2883        |
|   |   |    |   | Examiner Name            | Unassigned Healy |
| Sheet   | 4 | of | 9 | Attorney Docket Number   | 3239P081D2       |

  

| U.S. PATENT DOCUMENTS |                       |                          |                 |   |   | CLASS  | 545-CL |
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| BW                    |                       | 5,825,949                |                 | Choy, et al.                                    | 10/20/1998  | 385  | 24     |
| BW                    |                       | 5,828,476                |                 | Bonebright, et al.                              | 10/27/1998  | 359  | 152    |
| BW                    |                       | 5,864,643                |                 | Pan   | 1/26/1999   | 385  | 33     |
| BW                    |                       | 5,867,289                |                 | Gerstel, et al.                                 | 2/2/1999  | 359  | 110    |
| BW                    |                       | 5,892,606                |                 | Fatehi, et al.                                  | 4/6/1999  | 359  | 177    |
| BW                    |                       | 5,900,968                |                 | Srivastava, et al.                              | 5/4/1999  | 359  | 341    |
| BW                    |                       | 5,903,687                |                 | Young, et al.                                   | 5/11/1999   | 385  | 17     |
| BW                    |                       | 5,915,063                |                 | Colbourne, et al.                               | 6/22/1999   | 385  | 140    |
| BW                    |                       | 5,942,937                |                 | Bell  | 8/24/1999   | 329  | 303    |
| BW                    |                       | 5,960,132                |                 | Lin   | 9/28/1999   | 385  | 18     |
| BW                    |                       | 5,963,350                |                 | Hill  | 10/5/1999   | 385  | 24X    |
| BW                    |                       | 5,970,201                |                 | Anthony, et al.                                 | 10/19/1999  | 385  | 140    |
| BW                    |                       | 5,978,113                |                 | Kight   | 11/2/1999   | 359  | 110    |
| BW                    |                       | 6,002,818                |                 | Fatehi, et al.                                  | 12/14/1999  | 385  | 17     |
| BW                    |                       | <del>6,002,818</del>     |                 | <del>Fatehi, et al.</del>                       | <del>12/14/1999</del>   |  |        |
| BW                    |                       | 6,005,993                |                 | MacDonald                                       | 12/21/1999  | 385  | 16     |
| BW                    |                       | <del>6,005,993</del>     |                 | <del>MacDonald</del>                            | <del>12/21/1999</del>   |  |        |
| BW                    |                       | 6,008,915                |                 | Zyskind   | 12/28/1999  | 359  | 110    |
| BW                    |                       | 6,009,220                |                 | Chan, et al.                                    | 12/28/1999  | 385  | 24     |
| BW                    |                       | 6,046,833                |                 | Sharma, et al.                                  | 4/4/2000  | 359  | 119    |

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| BW                       |                       | WO                      | 99/40738            |                                      | Tol Johannes Jacobus Gerardus                   | 08/12/99  | 385  | 24X  |                |
| BW                       |                       | EP                      | 0 938 244           | A2                                   | Fujitsu   | 08/25/99  | 1040   | 1100 |                |
| BW                       |                       | WO                      | 00/13210            |                                      | PCT   | 09/09/99  | 385  | 24X  |                |
| BW                       |                       | WO                      | 99/48323            |                                      | PCT   | 09/23/99  | 385  | 24X  |                |
| BW                       |                       | EP                      | 0 953 854           | A1                                   | EPO   | 11/03/99  | 385  | 24X  |                |
| BW                       |                       | WO                      | 99/59272            |                                      | PCT   | 11/18/99  | 385  | 24X  |                |
| BW                       |                       | WO                      | 99/63374            |                                      | Laor  | 12/09/99  | 385  | 24X  |                |

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Sheet 5 of 9

## U.S. PATENT DOCUMENTS

| Exam. Initial* | Cite No. <sup>1</sup> | U.S. Patent Document     |                 | Name of Patentee or Applicant of Cited Document | Date of Issue/<br>Publication of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant Figures Appear |           |
|----------------|-----------------------|--------------------------|-----------------|---|---|--|-----------|
|                |                       | Number Code <sup>2</sup> | Kind (If known) |   |   | CLASS  | SUB CLASS |
| BUT            |                       | 6,047,331                |                 | Medard, et al.                                  | 4/4/2000  | 709  | 239       |
| BUT            |                       | 6,069,924                |                 | Sudo, et al.                                    | 5/30/2000   | 375  | 330       |
| BUT            |                       | 6,072,612                |                 | Liou, et al.                                    | 6/6/2000  | 359  | 123       |
| BUT            |                       | 6,081,361                |                 | Adams, et al.                                   | 2/27/2000   | 359  | 188       |
| BUT            |                       | 6,097,858                |                 | Laor  | 8/1/2000  | 385  | 16        |
| BUT            |                       | 6,097,859                |                 | Solgaard, et al.                                | 8/1/2000  | 385  | 17        |
| BUT            |                       | 6,108,311                |                 | Ramaswami, et al.                               | 8/22/2000   | 370  | 258       |
| BUT            |                       | 6,130,876                |                 | Chaudhuri                                       | 10/10/2000  | 370  | 228       |
| BUT            |                       | 6,147,968                |                 | DeMoer, et al.                                  | 11/14/2000  | 370  | 225       |
| BUT            |                       | 6,148,124                |                 | Aksyuk, et al.                                  | 11/14/2000  | 385  | 24        |
| BUT            |                       | 6,160,821                |                 | Dolle, et al.                                   | 12/12/2000  | 370  | 509       |
| BUT            |                       | 6,188,810                | B1              | Baney   | 2/13/2001   | 370  | 509       |
| BUT            |                       | 6,188,814                | B1              | Bhalla  | 2/13/2001   | 385  | 115       |
| BUT            |                       | 6,192,172                |                 | Fatehi, et al.                                  | 2/20/2001   | 359  | 124       |
| BUT            |                       | 6,195,402                | B1              | Hiramatsu                                       | 2/27/2001   | 375  | 36        |
| BUT            |                       | 6,198,571                | B1              | Yank  | 3/6/2001  | 359  | 33        |
| BUT            |                       | 6,207,949                | B1              | Jacket  | 3/27/2001   | 359  | 33        |
| BUT            |                       | 6,222,954                | B1              | Riza  | 4/24/2001   | 385  | 18        |
| BUT            |                       | 6,252,689                | B1              | Sharp   | 6/26/2001   | 359  | 168       |
| BUT            |                       | 6,253,001                | B1              | Hoan  | 6/26/2001   | 385  | 17        |

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| Exam. Initial* | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant Figures Appear |           | T <sup>6</sup> |
|----------------|-----------------------|-------------------------|---------------------|--------------------------------------|---|---|--|-----------|----------------|
|                |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(If known) |   |   | CLASS  | SUB CLASS |                |
| BUT            |                       | WO                      | 99/63531            |                                      | Laor  | 12/09/99  | 385  | 18X       |                |
| BUT            |                       | WO                      | 99/66354            |                                      | Laor  | 12/23/99  | 385  | 18X       |                |
| BUT            |                       | WO                      | 99/67666            |                                      | Laor  | 12/29/99  | 385  | 18X       |                |
| BUT            |                       | WO                      | 00/04671            |                                      | Laor  | 01/27/00  | 385  | 18X       |                |
| BUT            |                       | WO                      | 00/13210            | A2                                   | Xros, Inc.                                      | 03/09/00  | 385  | 18X       |                |
| BUT            |                       | WO                      | 00/13210            | A3                                   | Xros, Inc.                                      | 03/09/00  | 385  | 18X       |                |
| BUT            |                       | WO                      | 00/20899            |                                      | PCT   | 04/13/00  | 385  | 18X       |                |

Examiner  
Signature

B. Healy

Date Considered

12/20/04

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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| FOREIGN PATENT DOCUMENTS |                          |                         |                     |                                      |  |  |  |                |
|--------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|--|--|--|----------------|
| Exam.<br>Initial*        | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>2</sup> |
|                          |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(If known) |  |  |  |                |
| BW*                      |                          | WO                      | 00/20899            | A2                                   | Xros, Inc.   | 04/13/00   | 385/18X  |                |
| BW*                      |                          | WO                      | 00/20899            | A3                                   | Xros, Inc.   | 04/13/00   | 385/18X  |                |
| BW*                      |                          | EP                      | 0 994 635           | A1                                   | EPO  | 04/19/00   | 385/18X  |                |
| BW*                      |                          | WO                      | 00/30282            |                                      | PCT  | 05/25/00   | 385/18X  |                |
| BW*                      |                          | EP                      | 0 857 000           | A3                                   | Nippon Electric Co.                                | 08/09/00   | 385/18X  |                |
| BW*                      |                          | WO                      | 00/52865            |                                      | PCT  | 09/08/00   | 385/18X  |                |
| BW*                      |                          | WO                      | 00/07945            |                                      | Nortel Networks Corp                               | 02/01/01   | 385/18X  |                |

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|--------------------|-----------------|-----------------|----------|
| Examiner Signature | <i>B. Heath</i> | Date Considered | 12/20/04 |
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete if Known**

|                    |            |
|--------------------|------------|
| Application Number | 10/648,956 |
|--------------------|------------|

|             |            |
|-------------|------------|
| Filing Date | 08/27/2003 |
|-------------|------------|

|                       |                 |
|-----------------------|-----------------|
| First Named Inventor: | Rajiv Ramaswami |
|-----------------------|-----------------|

|                |           |
|----------------|-----------|
| Group Art Unit | 2855 2883 |
|----------------|-----------|

|               |                         |
|---------------|-------------------------|
| Examiner Name | Unassigned <i>Hea</i> ✓ |
|---------------|-------------------------|

|                        |            |
|------------------------|------------|
| Attorney Docket Number | 3239P081D2 |
|------------------------|------------|

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| Exam.<br>Initial*             | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>o</sup> |
|-------------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|--|--|--|----------------|
|                               |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(If known) |  |  |  |                |
| <i>[Handwritten initials]</i> |                          | EP                      | 1 087 556           | A1                                   | EPO  | 03/28/01   | 385 24X  |                |
|                               |                          | WO                      | 01/28136            | A1                                   | PCT  | 04/19/01   | 385 24X  |                |
|                               |                          | WO                      | 01/50176            | A1                                   | Polatis Limited                                    | 07/12/01   | 385 24X  |                |
|                               |                          | WO                      | 01/63803            | A1                                   | Xros, Inc.   | 08/30/01   | 385 24X  |                |
|                               |                          | WO                      | 01/71403            |                                      | Xros, Inc.   | 09/27/01   | 385 24X  |                |
|                               |                          |                         |                     |                                      |  |  |  |                |

B. Healy

|                 |          |
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| Date Considered | 12/20/04 |
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| Substitute for Form 1449A/PTO (Modified)<br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                  |
|   |   |    |   | Application Number       | 10/648,956       |
|   |   |    |   | Filing Date              | 08/27/2003       |
|   |   |    |   | First Named Inventor:    | Rajiv Ramaswami  |
|   |   |    |   | Group Art Unit           | 2855 2883        |
|   |   |    |   | Examiner Name            | Unassigned Healy |
| Sheet   | 8 | of | 9 | Attorney Docket Number   | 3239P081D2       |

  

| OTHER ART - NO PATENT LITERATURE DOCUMENTS |                      |  |                |
|--|----------------------|--|----------------|
| Examiner Initials*                         | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
| BW   |                      | A. HIMENO, R. NAGASE, T. ITO, K.KATO AND M. OKUNO; Photonic Inter-Module Connector Using 8x8 Optical Switches for Near-Future Electronic Switching Systems; IEICE Transactions on Communications; E77-B (1994) February, No. 2, Tokyo, JP                      |                |
| BW   |                      | LIN, L.Y. et al.; Micro-Electro-Mechanical Systems (MEMS) for WDM Optical-Crossconnect Networks, Milcom 1999; IEEE Military Communications Conf Proceedings; Atlantic City, NJ 10/31-11/3/99; pgs. 954-957.  |                |
| BW   |                      | S. OKAMOTO, et al.; Optical Path Cross-Connect Node Architectures for Photonic Transport Network, Journal of Lightwave Technology, Vol. 14, No. 6, June 1996, pgs. 1410-1422.  |                |
| BW   |                      | GUSTAFFSON, K. & HOK, B., A Batch-Processed Optical Scanner Proc. 12th Nordic Semiconductor Meeting, Jevnaker (Oslo: Center for Industrial Research) pp. 282-285, 1996.  |                |
| BW   |                      | GUSTAFFSON, K. & HOK, B., Fiberoptic Switching and Multiplexing with a Micromechanical Scanning Mirror, Digest of Technical Papers, 4th Int. Conf. On Solid State Sensors and Actuators (Tokyo: Institute of Electrical Engineers of Japan) pp. 212-215, 1985. |                |
| BW   |                      | GUSTAFFSON, K. & HOK, B., A Silicon Light Modulator, Journal of Physics E. Scientific Instruments 21, pp. 608, 1998.   |                |
| BW   |                      | LIN L.Y., et al., Free-Space Micromachined Optical-Switching Technologies and Architectures, OFC/100C '00, February 21-26, 1999.   |                |
| BW   |                      | LAOR, H., et al., Performance of a 576x576 Optical Cross Connect, NFOEC-99.  |                |
| BW   |                      | Types and Characteristics of SDH Network Protection Architectures; ITU-T Recommendation G.841 10/98.   |                |
| BW   |                      | RAJIV RAMASWAMI & KUMAR SIVARAJAN, Optical Networks: A Practical Perspective, Morgan Kaufmann Pub. 1998, pp 152-161.   |                |
| BW   |                      | RAJIV RAMASWAMI & KUMAR SIVARAJAN, Optical Networks: A Practical Perspective, Morgan Kaufmann Pub. 1998, pp 423-462.   |                |
| BW   |                      | CHAD WHITE, The Photonic Switch Completes the Much-Vaunted All-Optical Network, Technology Investor, October 2000, pp 28-31.   |                |

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| Examiner Signature | B. Healy | Date Considered | 12/20/04 |
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|  |   |    |   | First Named Inventor:    | Rajiv Ramaswami  |
|  |   |    |   | Group Art Unit           | 2855-2883        |
|  |   |    |   | Examiner Name            | Unassigned Healy |
| Sheet  | 9 | of | 9 | Attorney Docket Number   | 3239P081D2       |

  

| OTHER ART – NO PATENT LITERATURE DOCUMENTS |                      |  |                |
|--|----------------------|--|----------------|
| Examiner Initials*                         | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
| BWH  |                      | BISCHOFF, et al., Operation and Maintenance for an All-Optical Transport Network, IEEE Communications, Magazine, pp 136-142, 11/1996.  |                |
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| BWH  |                      | SIMON, et al., All Optical Regeneration, IEEE, pp 53-54.   |                |
| BWH  |                      | PETER WONG & MARK YIN, An Economic Analysis for Core Optical Transport Networks.   |                |
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| Examiner Signature | B. Healy | Date Considered | 12/20/04 |
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